

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
VTOB.104AAPPLICATION NO.  
10/007,724INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Robert D. BeremanFILING DATE  
November 9, 2001GROUP  
1731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

CL	Jorgen Lam, <i>Acta Pathol. Microbiol. Scan.</i> , "Demonstration of 3:4-Benzpyrene, Other Aromatic Hydrocarbons, and Nitrogen in the Products Formed by Pyrolysis of Tobacco Extract"; 40, 369 (1957).

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CL	3,292,636	12/20/66	Mays	—	—	
↓	3,338,246	08/29/67	Mays	—	—	
	3,893,464	07/08/75	Norman et al.	—	—	
	4,216,784	08/12/80	Norman et al.	—	—	
	4,248,251	02/03/81	Bryant, Jr. et al.	—	—	
CL	4,256,609	03/17/81	Dale et al.	—	—	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CL	2 042 364	01/10/80	Great Britain	—	—	—	—

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	International Search Report; PCT/US01/47307

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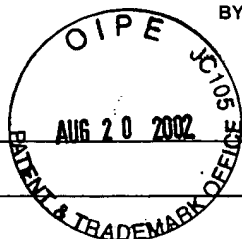
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EXAMINER	<i>CL</i>	DATE CONSIDERED	2/10/03
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>			

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Robert D. BeremanFILING DATE  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
EL	1.	1,519,470	12/16/24	Wilson et al.	—	—	
	2.	2,759,859	08/21/56	Lahr	—	—	
	3.	2,967,118	01/03/61	Gary et al.			
	4.	3,121,433	02/18/64	Plunkett et al			
	5.	3,251,365	05/17/66	Keith II, et al			
	6.	3,292,636	12/20/66	Mays			
	7.	3,380,458	04/30/68	Touey et al.			
	8.	3,428,054	02/18/69	B.U. Scarabello et al.			
EL	9.	3,572,348	03/23/71	Norman et al.			
	10.	3,650,279	03/21/72	Gansloser			
	11.	3,703,901	11/28/72	Norman et al.			
	12.	4,022,223	05/10/77	Rainer et al.			
	13.	4,055,191	10/25/77	Norman et al.			
P.V	14.	4,177,822	12/11/79	Bryant, Jr. et al.			
EL	15.	4,215,708	08/05/80	Bron			
EL	16.	4,216,784	08/12/80	Norman et al			
C.L	17.	4,235,251	11/25/80	Bryant, Jr. et al.			
C.L	18.	4,236,533	12/02/80	de Clara			
	19.	4,246,910	01/27/81	Rainer et al.			
	20.	4,248,251	02/03/81	Bryant, Jr. et al.			
	21.	4,257,430	03/24/81	Collins et al.			
	22.	4,266,561	05/12/81	Litzinger			
EL	23.	4,317,460	03/02/82	Dale et al.			
EL	24.	4,357,950	11/09/82	Berger			
EL	25.	4,359,481	11/16/82	Smits et al.	✓	✓	
EL	26.	4,516,590	05/14/85	Teng	—	—	

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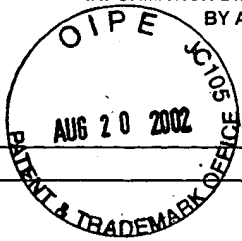
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VT0B.104A

APPLICATION NO. 10/007,724

INFORMATION DISCLOSURE STATEMENT  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CL	27.	4,517,995	05/21/85	Lyles	—	—	
	28.	4,561,454	12/31/85	Guess			
	29.	4,729,389	03/08/88	Anderson			
	30.	4,753,250	06/28/88	Bitter et al.			
	31.	4,807,809	02/28/89	Pryor et al.			
	32.	4,819,667	04/11/89	Drazic			
	33.	4,830,028	05/16/89	Lawson et al.			
	34.	4,845,647	07/04/89	Dils et al.			
	35.	4,875,910	10/24/89	Dunnigan et al.			
	36.	4,879,049	11/07/89	De Mon et al.			
	37.	4,889,142	12/26/89	Rosenthal			
	38.	4,920,990	05/01/90	Lawrence et al.			
	39.	4,976,247	12/11/90	Schmied et al.			
	40.	5,022,964	06/11/91	Crane et al.			
	41.	5,025,812	06/25/91	Fagg et al.			
	42.	5,056,537	10/15/91	Brown et al.			
	43.	5,060,672	10/29/91	Irimi et al.			
	44.	5,065,775	11/19/91	Fagg			
	45.	5,074,320	12/24/91	Jones, Jr. et al.			
	46.	5,074,321	12/24/91	Gentry et al.			
	47.	5,076,294	12/31/91	Kramer			
	48.	5,095,922	03/17/92	Johnson et al.			
	49.	5,158,099	10/27/92	Rosen et al.			
	50.	5,159,942	11/03/92	Brinkley et al.			
	51.	5,168,884	12/08/92	Baldwin et al.			
↓	52.	5,175,276	12/29/92	McNair, Jr. et al.	↓	↓	
CL	53.	5,211,684	05/18/93	Shannon et al.	—	—	

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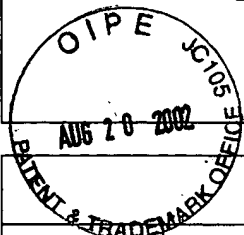
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VTOB.104A

APPLICATION NO. 10/007,120

INFORMATION DISCLOSURE STATEMENT  
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Robert D. BeremanFILING DATE  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CL	54, 5,220,930	06/22/93	Gentry	—	—	
	55, 5,250,101	10/05/93	Hidaka et al.			
	56, 5,261,425	11/16/93	Raker et al.			
	57, 5,278,113	01/11/94	Ono et al.			
	58, 5,285,798	02/15/94	Banerjee et al.			
	59, 5,310,548	05/10/94	Tsuru et al.			
	60, 5,357,984	10/25/94	Farrier et al.			
	61, 5,388,177	02/07/95	Ono et al.			
	62, 5,392,793	02/28/95	Molloy			
	63, 5,409,021	04/25/95	Safaey et al.			
	64, 5,421,854	06/06/95	Kodas et al.			
	65, 5,465,739	11/14/95	Perfetti et al.			
	66, 5,679,364	10/21/97	Levy			
	67, 5,713,376	02/03/98	Berger			
	68, 5,727,573	03/17/98	Meier et al.			
	69, 5,746,230	05/05/98	Arterbery et al.			
	70, 5,779,847	07/14/98	Groeger			
	71, 5,803,081	09/08/98	O'Donnell, Jr. et al.			
	72, 5,829,453	11/03/98	White et al.			
	73, 5,845,647	12/08/98	O'Donnell, Jr. et al.			
	74, 5,888,479	03/30/99	Carlin			
	75, 5,909,736	06/08/99	Stavridis et al.			
	76, 5,944,025	08/31/99	Cook et al.			
	77, 5,979,461	11/09/99	Bensalem et al.			
	78, 6,058,940	05/09/00	Lane			
✓	79, 6,110,968	08/29/00	Bucala et al.			
CL	80, 6,113,964	09/05/00	Potter et al.	—	—	

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CL	81.	6,119,699	09/19/00	Sung	—	—	
	82.	6,119,701	09/19/00	Cerami et al.			
	83.	6,135,121	10/24/00	Williams			
	84.	6,145,511	11/14/00	Teufel et al.			
	85.	6,153,119	11/28/00	Sung			
	86.	6,164,288	12/26/00	Lesser et al.			
	87.	6,171,684	01/09/01	Kahlbaugh et al.			
	88.	6,202,649	03/20/01	Williams			
	89.	6,273,093	08/14/01	Oliver et al.			
	90.	6,286,516	09/11/01	Bowen et al.			
CL	91.	6,311,695	11/06/01	Williams	—	—	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
P-L	92.	1,180,320	12/29/58	France	—	—	—	—
	93.	841,074	07/13/60	England			—	—
	94.	53136597 A2	11/29/78	Japan			—	—
	95.	0000625 B1	02/07/79	EPO			—	—
	96.	2082438	03/10/82	Great Britain			—	—
	97.	57065331 A2	04/20/82	Japan			—	—
	98.	0058490 B1	08/25/82	EPO			—	—
	99.	0058490 B2	08/25/82	EPO			—	—
	100.	58174340 A2	10/13/83	Japan			—	—
	101.	59029036 A2	02/16/84	Japan	—	—	—	—
CL	102.	61068135 A2	04/08/86	Japan	—	—	—	—

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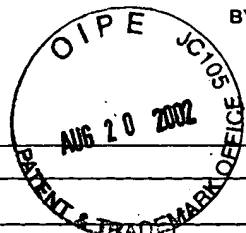
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APPLICATION NO. 10/001,114

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Robert D. BeremanFILING DATE  
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
C.L.	103.	61068139 A2	04/08/86	Japan	—	—	—	X
	104.	61227842 A2	10/09/86	Japan			—	—
	105.	62091241 A2	04/25/87	Japan			—	—
	106.	62097641 A2	05/07/87	Japan			—	—
	107.	0110693 B1	10/05/88	EPO			—	X
	108.	63250340 A2	10/18/88	Japan			—	—
	109.	1030573 A2	02/01/89	Japan			—	—
	110.	1035039A	08/30/89	China			—	—
	111.	1006443B	01/17/90	China			—	X
	112.	0370335 A2	05/30/90	EPO			—	—
	113.	0378774 A2	07/25/90	EPO			—	—
	114.	0378774 A3	07/25/90	EPO			—	—
	115.	0404580 B1	12/27/90	EPO			—	—
	116.	0404580 B2	12/27/90	EPO			—	—
	117.	3130292 A2	06/04/91	Japan			—	—
	118.	0434332 A2	06/26/91	EPO			—	—
	119.	0434339 A2	06/26/91	EPO			—	—
	120.	0514151 A2	11/19/92	EPO			—	—
	121.	0589298 A1	03/30/94	EPO			—	—
	122.	0626998 B1	12/07/94	EPO			—	—
	123.	1123118A	05/29/96	China			—	X
	124.	8309184 A2	11/26/96	Japan			—	X
	125.	0525347 B1	05/02/97	EPO			—	—
	126.	1161838A	10/15/97	China			—	X
	127.	WO 98/28994	07/09/98	PCT			—	—
✓	128.	WO 98/36650	08/27/98	PCT	↓	↓	—	—
C.L.	129.	WO 98/38351	09/03/98	PCT	—	—	—	—

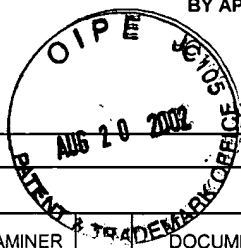
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. VTOB.104A	APPLICATION NO. 10/007,773
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Robert D. Bereman	
		FILING DATE November 9, 2001	GROUP Unknown

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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
CL	130.	WO 98/56923	12/17/98	PCT				
	131.	WO 98/58555	12/30/98	PCT				
	132.	WO 99/01418	01/14/99	PCT				
	133.	0589298 B1	04/07/99	EPO				
	134.	WO 99/33438	07/08/99	PCT				
	135.	11225734 A2	08/24/99	Japan				
	136.	WO 99/53778	10/28/99	PCT				
	137.	WO 00/02464	01/20/00	PCT				
	138.	0056308 A2	02/25/00	Japan				
	139.	WO 00/25610	05/11/00	PCT				
	140.	WO 00/25611	05/11/00	PCT				
	141.	WO 00/56842	09/28/00	PCT				
	142.	WO 01/35770 A1	05/25/01	PCT				
	143.	WO 01/65954 A1	09/13/01	PCT				
CL	144.	0626998 B1	10/31/01	EPO				

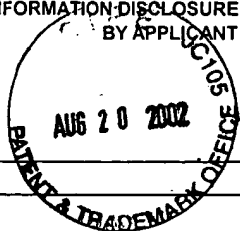
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
CL	145.	<i>A Chromatographic Analysis for Polynuclear Aromatic Hydrocarbons in Small Quantities of Cigarette Smoke Condensate</i> by R.F. Severson, M.E. Snook, O.T. Chartyk and R.F. Arrendale, Beiträge zur Tabakforschung, Band 8, Heft 5, März 1976.
	146.	<i>A High-Performance Liquid Chromatographic Determination of Major Phenolic Compounds in Tobacco Smoke</i> by Charles H. Risner and Shelia L. Cash, Journal of Chromatographic Science, Vol. 28, May 1990.
	147.	<i>A Rapid Method for the Determination of Benzo[a]Pyrene, benzo[a]Anthracene and Chrysene in Cigarette Smoke</i> by Klimisch and E. Kircheim, Chromatographia, Vol. 9, No. 3, March 1976.
	148.	<i>Analysis of Polycyclic Aromatic Hydrocarbons, Phenols and Aromatic Amines in Particulate Phase Cigarette Smoke Using Simultaneous Distillation and Extraction As A Sole Sample Clean-Up Step</i> by J.B. Forehand, G.L. Dooley, S.C. Moldoveanu, Hjournal of Chromatography A, (2000) pp. 111-124.
	149.	<i>Analysis of Polycyclic Hydrocarbons</i> by E.W. Robb, G.C. Guvenator, III., M.D. Edmonds and A. Bavley, Beiträge zur Tabakforschung, Band 3, Heft 4, Oktober 1965.
CL	150.	<i>Carcinogenic Agents in Cigarette Smoke and the Influence of Nitrate on Their Formation</i> by John D. Adams, Suk Jong Lee and Dietrich Hoffmann, IRL Press Ltd., Oxford, England, Vol. 5 No. 2, pp.221-223, 1984.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Robert D. Bereman	
		FILING DATE November 9, 2001	GROUP Unknown

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CL	151. <i>Determination of Benzo[A]pyrene in Mainstream Tobacco Smoke</i> , Health Canada, December 1999.
	152. <i>Determination of Seventeen Polycyclic Aromatic Hydrocarbons in Tobacco Smoke Condensate</i> by G. Gmeiner, G. Stehlik and H. Tausch, <i>Journal of Chromatography A</i> , 767 (1997) pp. 163-169.
	153. <i>Determination of Polycyclic Aromatic Hydrocarbons, Polycyclic Aromatic Sulfur and Oxygen Heterocycles in Cigarette Smoke Condensate</i> by E.R. Schmid, G. Bachlechner, K. Varmuza and H. Klus, <i>Originalarbeiten</i>
	154. <i>Effect of Air-Curing on the Chemical Composition of Tobacco</i> by Anna Wiernik, Alex Christakopoulos, Lennart Johansson and inger Wahlberg, Svenska Tobaks AB, <i>Recent Advances in Tobacco Science</i> , Vol. 21, pp. 39 et seq., Symposium Proceedings 49 <sup>th</sup> Meeting Tobacco Chemists' Research Conference, Sept. 24-27, 1995, Lexington, Ky.
	155. <i>Ein Experimenteller Beitrag zur Tabakrauchkanzerogenese</i> by Von E.L. Wynder und D. Hoffmann, Nr. 13, 29. März 1963 pp. 623-628.
	156. <i>Gas Chromatographic Quantitation of Polynuclear Aromatic Hydrocarbons in Tobacco Smoke</i> by R.F. Severson, M.E. Snook, R.F. Arrendale and O.T. Chortyk, <i>Analytical Chemistry</i> , Vol. 48, No. 13, November 1976.
	157. <i>Gaschromatographic Determination of Polycyclic Aromatic Hydrocarbons, Aza-arenes, Aromatic Amines in the Particle and Vapor Phase of Mainstream and Sidestream Smoke of Cigarettes</i> by Gernot Grimmer, Klaus-Werner Naujack and Gerhard Dettbarn, <i>Toxicology Letters</i> , 35 (1987) pp. 117-124.
	158. <i>Isolation, Identification, and Quantitation of the Polynuclear Aromatic Hydrocarbons in Tobacco Smoke</i> by Ray F. Severson, Maurice E. Snook, Howard C. Higman, Orestes T. Chortyk and Frank J. Akin, <i>Carcinogenesis</i> , Vol. 1, <i>Polynuclear Aromatic Hydrocarbons: Chemistry, Metabolism and Carcinogenesis</i> , edited by R.I. Freudenthal and P.W. Jones, Raven Press, New York 1976.
	159. <i>On-Line Generation of Cyanogen Chloride As A Replacement Reagent for Cyanogen Bromide in the Total Alkaloids Determination</i> by William R. Harvey and Betty M. Handy, <i>Tobacco Science</i> , pp. 131-133
	160. <i>Polynuclear Hydrocarbons in Tobacco and Tobacco Smoke Part III. The Inhibition of the Formation of 3:4-Benzopyrene in Cigarette Smoke</i> by H.R. Bentley and J.G. Burgan.
	161. <i>Present Status of Laboratory Studies on Tobacco Carcinogenesis</i> by Ernest L. Wynder and Dietrich Hoffmann.
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	164. <i>The Determination of Benzo[a]Pyrene and Benz[a]Anthracene in Mainstream and Sidestream Smoke of the Kentucky Reference Cigarette 1R4F and a Cigarette which Heats but Does Not Burn Tobacco: A Comparison</i> by Charles H. Risner, , <i>Beiträge zur Tabakforschung International</i> , Volume 15, No. 1, August 1991.
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